

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 684.2932

First Named Inventor or Application Identifier

TOSHIHIKO TSUJI

Express Mail Label No. \_\_\_\_\_

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

2.  Specification Total Pages 28

6.  Microfiche Computer Program (Appendix)

3.  Drawing(s) (35 USC 113) Total Sheets 5

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

4.  Oath or Declaration Total Pages 1

a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

a.  Newly executed (original or copy)  
b.  Unexecuted for information purposes  
c.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

i.  **DELETION OF INVENTOR(S)**

Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4c, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure  
Statement (IDS)/PTO-1449  Copies of IDS  
Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Small Entity  Statement filed in prior application  
Statement(s) Status still proper and desired

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label **05514**  or  Correspondence address below  
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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	36-20 =	16	X \$ 18.00 =	\$ 288.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3 =	0	X \$ 78.00 =	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$ 260.00 =	\$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 760.00
				Total of above Calculations =	\$1308.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$1308.00

## 19. Small entity status

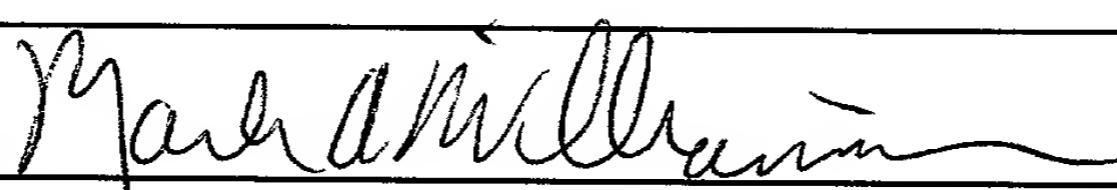
- a.  A Small entity statement is enclosed
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

20.  A check in the amount of \$ 1308.00 to cover the filing fee is enclosed.21.  A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Mark A. Williamson - Reg. No. 33,628
SIGNATURE	
DATE	November 5, 1999

MAWTTCG\JJ0\lmj

OPTICAL ELEMENT WITH ALIGNMENT MARK, AND  
OPTICAL SYSTEM HAVING SUCH OPTICAL ELEMENT

FIELD OF THE INVENTION AND RELATED ART

5        This invention relates to an optical element with an alignment mark, and an optical system having such optical element. An optical element according to the present invention is suitably usable in an imaging optical system of a camera for forming an image of a  
10      subject upon a photosensitive material, an image forming optical system for optically scanning the surface of a photosensitive drum to produce imagewise information thereupon, a projection optical system (projection lens) for projecting an electronic circuit  
15      pattern of a mask onto a wafer in the manufacture of a semiconductor device such as IC or LSI, or an illumination optical system for illuminating such mask, for example.

20      Many diffractive optical elements and optical systems having such elements have been proposed recently. Examples of such diffractive optical elements are a Kinoform, a binary optics, a Fresnel zone plate, and a hologram.

25      A diffractive optical element can be used as an optical element for transforming an incident wavefront to a predetermined wavefront. Such diffractive optical element has features distinguished

over a dioptric lens. For example, it has a dispersion value inverse to a dioptric lens, and it has substantially no thickness.

It is known that a diffractive optical  
5 element can be formed with a sectional shape of saw-tooth shape such as a blazed shape or Kinoform shape, by which a diffraction efficiency of 100% can be attained with respect to the design wavelength.

Practically, however, it is very difficult to produce  
10 a complete blazed shape. For this reason, a diffractive optical element called a "binary optics" is used, wherein a blazed shape or Kinoform shape is approximated with use of a step-like sectional shape.

Generally, a binary optics can be produced on  
15 the basis of a lithographic process, and a very fine pitch can be achieved relatively easily.

Figure 8 shows an example of a binary optics, wherein denoted at 101 is a diffractive optical element. Figure 9 shows a sectional shape of the  
20 diffractive optical element 101, along a line 102 in Figure 8. In the case of a binary optics with eight levels such as shown in Figure 9, a diffraction efficiency not less than 95% can be kept for first-order diffraction light.

25 For higher degree approximation or for increased power of a diffractive optical element, the pitch of a periodic structure of the diffractive

optical element should be made small as much as possible. Thus, a lithographic procedure having been advanced and improved through the semiconductor manufacture is used for production of high performance 5 diffractive optical elements. Since a diffractive optical element is produced through a lithographic process, a product element is a thin plate-like member.

When such diffractive optical element is 10 incorporated into a barrel of a projection optical system, a ring-like holding member (hereinafter, cell) having a thickness larger than the diffractive optical element may be bonded to a peripheral edge portion of the diffractive optical element into an integral 15 structure, to thereby assure a required strength, and the diffractive optical element may be held together with the cell by the barrel of the projection optical system. The positioning of the cell may be accomplished on the basis of the outside diameter of 20 the cell.

When the cell is bonded to the diffractive optical element, the center of the cell and the center of the diffractive optical element must be registered with each other at a high precision. If there is 25 misalignment between the centers of the cell and of the diffractive optical element, there will occur an eccentricity error when they are incorporated into an

optical system, and a resultant aberration produced thereby will deteriorate the performance of the optical system as a whole.

5      SUMMARY OF THE INVENTION

It is accordingly an object of the present invention to provide an optical element which enables easy alignment with any other member such as a cell described above.

10       It is another object of the present invention to provide an optical system having such optical element.

In accordance with an aspect of the present invention, there is provided a diffractive optical element having a design wavelength  $\lambda$ , comprising: a diffractive surface for diffracting predetermined light corresponding to the design wavelength; and a mark shaped so that, with regard to the predetermined light, a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that, with regard to second light of a second wavelength  $\lambda'$  different from the design wavelength  $\lambda$ , no phase difference corresponding to a

multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as 5 transmitted through or reflected by a portion adjacent to the mark.

The mark may be placed at or adjacent a center of the diffractive surface and may be defined by a recess formed on the diffractive surface, and the 10 recess may be formed with a depth effective to assure that a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and 15 (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that no phase difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light 20 ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as transmitted through or reflected by a portion adjacent to the mark.

The mark may be placed at or adjacent a 25 center of the diffractive surface and may be defined by a protrusion formed on the diffractive surface, and the protrusion may be formed with a height effective

to assure that a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that no phase difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as transmitted through or reflected by a portion adjacent to the mark.

The diffractive surface and the mark may be adapted to transmit light rays of the wavelengths  $\lambda$  and  $\lambda'$ , or they may be adapted to reflect light rays of the wavelengths  $\lambda$  and  $\lambda'$ .

The diffractive surface may comprise a binary optics, and the diffractive surface and the mark may be formed in accordance with a lithographic process.

The diffractive optical element may further comprise a substrate on which the diffractive surface and the mark are formed, and a metal ring for holding the substrate.

The diffractive optical element may further comprise a metal ring, wherein the mark may be placed at a center of the diffractive surface, and the mark

may be disposed at a central position of an outside circumference of the metal ring.

The mark and the central position of the metal ring may be registered with each other, on the 5 basis of detection of the mark by use of the second light of the second wavelength  $\lambda'$ . During the registration operation, the outside periphery of the metal ring may be cut or scraped for the registration.

In accordance with another aspect of the 10 present invention, there is provided a projection optical system including a diffractive optical element as described above.

In accordance with a further aspect of the 15 present invention, there is provided a projection exposure apparatus for projecting a pattern onto a substrate by use of a projection optical system as described above.

In accordance with a yet further aspect of the present invention, there is provided a device 20 manufacturing method, comprising the steps of: exposing a substrate with a device pattern by use of an exposure apparatus as described above; and developing the exposed substrate.

In a diffractive optical element according to 25 a first preferred form of the present invention, the diffractive optical element is provided with a diffraction grating, formed on a substrate and having

a periodic structure for transforming an incident wavefront into a predetermined wavefront, wherein an alignment mark is formed at or adjacent a central portion of the diffractive optical element.

5           In a diffractive optical element according to a second preferred form of the present invention, the diffractive optical element is provided with a diffraction grating of step-like sectional structure, formed on a substrate through approximation of a  
10 blazed shape on the basis of quantization, wherein an alignment mark is formed at or adjacent a central portion of the diffractive optical element.

          In the diffractive optical element according to the first or second preferred form described above,  
15 the alignment mark may comprise a recess or a protrusion formed on the substrate.

          The alignment mark may have a phase difference, with regard to a region around the mark, of about  $m\lambda/(n-1)$  where  $m$  is an integer,  $n$  is a  
20 refractive index of the material of the substrate, and  $\lambda$  is a design wavelength or a wavelength to be used for the diffractive optical element.

          The alignment mark may be detected by use of light of a wavelength different from the design  
25 wavelength  $\lambda$ .

          The alignment mark may be formed at a desired position on the diffraction grating structure of the

diffractive optical element, during the manufacture of the diffractive optical element and in accordance with a process the same as the step-like structure forming process.

5           In an optical element according to a third preferred form of the present invention, the optical element serves to transform an incident wavefront to a predetermined wavefront, wherein there is an alignment mark formed at or adjacent a central portion of the  
10           optical element.

          In an optical element according to a fourth preferred form of the present invention, the optical element has a mark which is transparent with respect to a wavelength  $\lambda$  to be used with the optical element.

15           In an optical element according to a fifth preferred form of the present invention, the optical element has a mark formed in a region through which exposure light is to pass, wherein the mark is transparent with respect to the exposure light.

20           In an optical element according to a sixth preferred form of the present invention, the optical element has an alignment mark formed in a region through which light is to pass.

          In an optical element according to a seventh preferred form of the present invention, the optical element has an alignment mark formed in a region through which exposure light is to pass.

In the optical element according to any one of the third to seventh preferred forms described above, the mark may have a phase difference, with regard to a region around the mark, of about  $m\lambda/(n-1)$  where  $m$  is an integer,  $n$  is a refractive index of the material of the substrate of the optical element, and  $\lambda$  is a design wavelength or a wavelength to be used for the optical element.

Also, the mark may be detected by use of light of a wavelength different from the design wavelength  $\lambda$ .

A manufacturing system for manufacturing an optical device including an optical element according to an embodiment of the present invention, may comprise: a light source for emitting a plane wave of a wavelength different from a design wavelength of the optical element; a ring-like holding member bonded to a circumferential portion of the optical element and having a thickness larger than the optical element; a rotary stage for rotating the holding member; a blade for cutting or scraping a portion of the holding member; and a detecting system for detecting positional information related to an alignment mark, wherein a portion of the holding member may be cut or scraped on the basis of the positional information of the alignment mark as produced by the detecting system, such that a center of the holding member and a

center of the optical element can be brought into registration with each other.

An optical system according to the present invention may include an optical element as recited 5 above.

An optical device according to the present invention may include an optical system having an optical element as recited above, wherein imagewise information can be produced upon a predetermined 10 surface with use of light passing through the optical system.

A projection exposure apparatus according to the present invention may include an optical system having an optical element as described above, for illuminating a pattern of a first object with light 15 passing through the optical system, and a projection optical system for projecting the pattern onto a second object.

A device manufacturing method according to the present invention may include a process for illuminating a device pattern on a mask by use of light passing through an optical system having an optical element as described above so that a surface 20 of a wafer is exposed with the pattern, and a process for developing the exposed wafer.

These and other objects, features and advantages of the present invention will become more

apparent upon a consideration of the following description of the preferred embodiments of the present invention taken in conjunction with the accompanying drawings.

5

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A and 1B are schematic illustrations of a diffractive optical element according to a first embodiment of the present invention, wherein Figure 1A 10 is a plan view and Figure 1B is a sectional view of a main portion of the diffractive optical element.

Figures 2A and 2B are schematic illustrations of a diffractive optical element according to a second embodiment of the present invention, wherein Figure 1A 15 is a plan view and Figure 1B is a sectional view of a main portion of the diffractive optical element.

Figure 3 is a plan view of a diffractive optical element according to a third embodiment of the present invention.

20 Figure 4 is a schematic view for explaining an example wherein a cell is bonded to a diffractive optical element according to the present invention.

Figure 5 is a schematic view for explaining a process for registering the center of a cell with a 25 diffractive optical element according to the present invention.

Figure 6 is a schematic view for explaining a

process for removing any eccentric portion of a cell, mounted on a diffractive optical element.

Figure 7 is a schematic view of an embodiment of an optical system having a diffractive optical 5 element according to the present invention.

Figures 8 and 9 show a conventional diffractive optical element, wherein Figure 8A is a plan view and Figure 9 is a sectional view.

10 DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figures 1A and 1B are schematic illustrations of a diffractive optical element (optical element) according to a first embodiment of the present invention, wherein Figure 1A is a plan view and Figure 15 1B is a sectional view of a main portion thereof.

Denoted in these drawings at 1 is a diffractive optical element which has a diffraction grating portion 11 wherein a diffraction grating of a binary shape (step-like shape), a Kinoform shape 20 or a Fresnel shape, for example, is formed. Also, an alignment mark (mark) 2 is formed in a central portion thereof.

In this embodiment, the diffractive optical element 1 is illustrated as being a transmission type. 25 However, the present invention is applicable to a reflection type optical element.

The diffractive optical element 1 in this

embodiment has an alignment mark 2 which, as shown in Figure 1B, is formed with a small opening  $\alpha$  and a height (depth)  $y$  adapted to provide a phase difference of about  $m\lambda/(n-1)$  between a light ray 5 (wavelength  $\lambda$ ) passing through (or reflected by) the mark and a light ray (wavelength  $\lambda$ ) passing through a portion around the mark, where  $\lambda$  is the wavelength (design wavelength) to be used with the optical element,  $m$  is an integer, and  $n$  is the refractive 10 index of the material of a substrate 4 of the optical element with respect to the wavelength  $\lambda$ . The alignment mark 2 is disposed at a desired position on the structure of the diffractive optical element, particularly, in a region through which the light of 15 the design wavelength  $\lambda$  is to pass, such as, for example, at or about a center of the diffractive optical element 1.

With respect to the design wavelength  $\lambda$ , the alignment mark 2 produces a phase shift (phase 20 difference) corresponding to a multiple of  $2\pi$  by an integer, relative to a region around the mark. Thus, when it is incorporated and used in an optical system, an effect equivalent to a structure where such alignment mark is substantially absent, is provided.

25 Here, the depth (height) approximately satisfying  $m\lambda/(n-1)$  refers to a range of  $\pm 30\%$  with respect to an idealistic value.

In a process for positioning the center of the diffractive optical element in this embodiment, light of a wavelength  $\lambda'$  (alignment wavelength) different from the design wavelength  $\lambda$  and satisfying about  $m\lambda'/(n'-1)$  (where  $n'$  is the refractive index with respect to the wavelength  $\lambda'$ ), is used such that the alignment mark 2 can be practically present. While using such alignment light of the wavelength  $\lambda'$ , a wavefront from the alignment mark 2 and from a portion around the mark is caused to interfere with a reference wavefront. On the basis of this interference, the position of the alignment mark is detected. Then, in accordance with the detection, the center of the diffractive optical element 1 can be positioned very precisely with respect to the cell.

Alternatively, if necessary, after the cell is bonded to the diffractive optical element, the cell may be machined by use of the alignment mark such that the central position of the cell with regard to a barrel may be adjusted.

Placing an alignment mark 2 at the center of the diffractive optical element 1 as described above, has been undesired conventionally because, when the optical element is incorporated into an optical system, it causes unwanted scattered light or diffraction light. However, if the depth of the mark is set with respect to the design wavelength  $\lambda$  as

described above, the phase difference to be produced between the wavefront passing through a portion around the alignment mark and the wavefront passing through the center of the alignment mark will be, where the 5 wave number is  $k = 2\pi/\lambda$  and the thickness of the substrate is  $d$ , such as follows:

$$knd - \left\{ k, \frac{m\lambda}{n-1} + kn \left( d - \frac{m\lambda}{n-1} \right) \right\} = \frac{(n-1)km\lambda}{(n-1)} = mk\lambda = 2m\pi$$

10 Thus, the phase difference is a multiple of  $2\pi$  by an integer and, therefore, substantially it becomes equivalent to a condition where there is no phase difference. As a result, the system can be used substantially as one having no such alignment mark.

15 For example, in a case where the diffractive optical element is to be incorporated into an optical system to be used with light of an ArF excimer laser and where synthetic quartz is to be used for the substrate, such that the refractive index  $n = 1.56$  and 20 a design wavelength  $\lambda = 0.193$  micron, the diameter of the small opening of the alignment mark may be about 1 micron and the depth thereof may be 3.45 microns.

The alignment mark is not limited to a hole with a circular opening. For example, as shown in 25 Figures 2A and 2B, it may comprise a protrusion 23 of a round column. Also in this case, like the preceding case, while a substrate 24 of the diffractive optical

element 1 has a thickness  $d$  and a glass material of the substrate 24 has a relative refractive index  $n$ , the alignment mark 21 may comprise a round column with a diameter  $a$  and a height  $h$  that satisfies about 5  $m\lambda/(n-1)$  where  $m$  is an integer.

As in the example described with reference to Figures 1A and 1B, in this case, the phase difference to be produced between the wavefront passing through (or reflected by) a peripheral portion around the 10 alignment mark 21 and the wavefront passing through (or reflected by) the center of the alignment mark corresponds to a multiple of  $2\pi$  by an integer. Therefore, substantially it becomes equivalent to a condition where there is no phase difference. As a 15 result, the system can be used substantially as one having no such alignment mark, if used with the design wavelength  $\lambda$ .

Further, the alignment mark may have a sectional shape other than a circular shape as 20 described above. For example, it may comprise a cross-shape mark 31 such as shown in Figure 3. The alignment mark 31 may comprise a recess or a protrusion which may be formed at or adjacent a center of the diffractive optical element 1 and formed with a 25 depth or a height satisfying about  $m\lambda/(n-1)$  where  $m$  is an integer.

Figure 4 is an illustration for explaining

registration between a center of an optical element having an alignment mark and a center of a cell therefor, in accordance with the present invention. Figure 4 is a schematic illustration of an example 5 wherein a cell 51 is bonded to a diffractive optical element 1.

In the example of Figure 4, the cell 51 comprises a holding member of ring-like shape, having a thickness larger than that of the diffractive 10 optical element 1. Denoted at 52 is a fixing ring for bonding the cell 51 and the diffractive optical element 1 to each other. Denoted at 2 is an alignment mark which is provided at a center of the diffractive 15 optical element 1.

In Figure 4, the center of the cell 51 and the center of the diffractive optical element 1 are just registered with each other. Once the cell and the optical element are bonded into this state, they can be incorporated together into a barrel for an 20 optical system. Referring to Figure 5, the process for bonding the cell and the optical element into such state, will be explained.

Figure 5 illustrates a centering process wherein the position of the alignment mark (mark) 2 of 25 the diffractive optical element 1 is detected and wherein a portion (any eccentric portion) of the cell 51 is removed or scraped while rotating the cell about

the central position of the mark 2.

In Figure 5, denoted at 61 is a light source for emitting a plane wave of a wavelength  $\lambda'$  different from the design wavelength  $\lambda$ . Denoted at 62 is a 5 rotary stage for holding and rotating the cell. Denoted at 63 is a blade for cutting or scraping the cell 51. Denoted at 64 is a detecting system for detecting the alignment mark 2. In this example, the alignment mark 2 is defined by a hole having a 10 circular opening as shown in Figure 1 and a depth of  $m\lambda/(n-1)$  where  $m$  is an integer.

The procedure in the centering process will be described sequentially.

First, the cell 51 is bonded to the 15 diffractive optical element 1, and then it is fixed by use of the fixing ring 52. The cell mounted in this manner is then fixedly mounted on the rotary stage 62. Subsequently, a plane wave of a wavelength  $\lambda'$  ( $\lambda' \neq m\lambda/(n-1)$  where  $m$  is an integer) is emitted from the 20 light source 6 as illumination light, which is projected to a portion around the center of the diffractive optical element 1. Here, the alignment mark 2 is so designed that the phase at a portion adjacent the mark and with respect to the design wavelength  $\lambda$  becomes equal to a multiple of  $2\pi$  by an integer, and also there is a relation  $\lambda' \neq m\lambda/(n'-1)$ . Therefore, if a plane wave of the alignment wavelength 25

$\lambda'$  different from the design wavelength  $\lambda$  is projected, a phase shift or deviation is produced between a light ray passing through the alignment mark 2 portion and a light ray passing through a region 5 surrounding the mark 2 portion.

As a result, similarly a phase shift is produced upon the detection plane of the detecting system 64, between the plane wave passed through the alignment mark 2 and the plane wave passed through the 10 region around the mark 2. These plane waves are then caused to interfere with a reference plane wave (not shown) of a wavelength  $\lambda'$ , upon the detection plane of the detecting system 64, by which a high-contrast image of the alignment mark can be produced there. 15 Thus, the position of the alignment mark can be detected on the basis of it.

Alternatively, a phase difference microscope may be used in the detecting system, and the position of the alignment mark 2 can be detected precisely also 20 in that occasion.

After completion of the detection of the alignment mark 2 in the manner described above, the rotary stage 62 is driven by means of an X-Y stage, not shown, so that the center of the detecting system 25 64 (which corresponds to the rotational center of the rotary stage) and the center of the alignment mark 2 are brought into registration with each other. Then,

the rotary stage 62 is rotated while holding the registration.

While rotating the rotary stage 62, the blade 63 is gradually moved close to the cell 51, to remove 5 any eccentric portion of the cell, such as depicted at 65 in Figure 6. As a result of this operation, the cell 51 is machined so that the center thereof is brought into alignment with the center of the alignment mark 2. To this end, preferably the cell 51 10 should have an original size larger than a desired size therefor.

The cell 51 having its eccentric portion 65 (Figure 6) removed in the manner described above, is in a state similar to that shown in Figure 4. By 15 incorporating the same into a barrel of an optical system desired, high-precision optical axis alignment can be accomplished.

Figure 7 is a schematic view of a main portion of an embodiment wherein an optical system 20 having an optical element according to the present invention is incorporated into a projection exposure apparatus to be used in a lithographic process, in the device manufacturing procedure for production of microdevices such as a semiconductor device (e.g., IC 25 or LSI), an image pickup device (e.g., CCD), or a display device (e.g., liquid crystal panel), for example.

Denoted in Figure 7 at 71 is a light source, and denoted at 72 is a reticle. Denoted at 73 is a lens barrel for a projection optical system 78.

Denoted at 74 are lenses of the projection optical system, and denoted at 1 is a diffractive optical element according to the present invention. Denoted at 76 is a wafer, and denoted at 77 is a wafer stage.

The diffractive optical element 1 comprises one according to the first embodiment of the present invention, for example, and here it is arranged to correct chromatic aberration of the lenses 4. The wafer 76 can be positioned at a desired position, by means of the wafer stage 77. The wafer height can be adjusted at a focus position, by means of a focus detecting system (not shown). Here, as required, the reticle may be brought into alignment with a mark of the wafer, having been printed on an underlying layer of the wafer. Upon completion of the focus and alignment operations, a shutter (not shown) is opened such that the reticle 72 is illuminated with illumination light from the light source 71. In response, a circuit pattern formed on the reticle 72 is projected by the projection optical system 78 onto a resist applied to the wafer 76, whereby the wafer is exposed with the reticle pattern.

The thus exposed wafer 76 is then processed by a development operation and an etching operation,

for example, known in the art, whereby microdevices can be produced.

An optical system with an optical element according to the present invention can be applied 5 similarly to an optical instrument for image formation or to an illumination system, for example.

The optical element of the present invention is not limited to a diffractive optical element. The present invention is applicable also to various 10 optical elements such as a dioptric element or a catoptric element, for example.

While the invention has been described with reference to the structures disclosed herein, it is not confined to the details set forth and this 15 application is intended to cover such modifications or changes as may come within the purposes of the improvements or the scope of the following claims.

WHAT IS CLAIMED IS:

1. A diffractive optical element having a design wavelength  $\lambda$ , comprising:
  - a diffractive surface for diffracting predetermined light corresponding to the design wavelength; and
  - a mark shaped so that, with regard to the predetermined light, a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that, with regard to second light of a second wavelength  $\lambda'$  different from the design wavelength  $\lambda$ , no phase difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as transmitted through or reflected by a portion adjacent to the mark.
2. A diffractive optical element according to Claim 1, wherein the mark is placed at or adjacent a center of the diffractive surface and is defined by a recess formed on the diffractive surface, and wherein

the recess is formed with a depth effective to assure that a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that no phase difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as transmitted through or reflected by a portion adjacent to the mark.

15

3. A diffractive optical element according to Claim 1, wherein the mark is placed at or adjacent a center of the diffractive surface and is defined by a protrusion formed on the diffractive surface, and wherein the protrusion is formed with a height effective to assure that a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that

no phase difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a 5 light ray, of the second light, as transmitted through or reflected by a portion adjacent to the mark.

4. A diffractive optical element according to  
Claim 1, wherein the diffractive surface and the mark  
10 are adapted to transmit light rays of the wavelengths  
 $\lambda$  and  $\lambda'$ .

5. A diffractive optical element according to  
Claim 1, wherein the diffractive surface and the mark  
15 are adapted to reflect light rays of the wavelengths  $\lambda$   
and  $\lambda'$ .

6. A diffractive optical element according to  
Claim 1, wherein the diffractive surface comprises a  
20 binary optics, and wherein the diffractive surface and  
the mark are formed in accordance with a lithographic  
process.

7. A diffractive optical element according to  
25 Claim 1, further comprising a substrate on which the  
diffractive surface and the mark are formed, and a  
metal ring for holding the substrate.

8. A diffractive optical element according to  
Claim 1, further comprising a metal ring, wherein the  
mark is placed at a center of the diffractive surface,  
5 and wherein the mark is disposed at a central position  
of an outside circumference of the metal ring.

9. A diffractive optical element according to  
Claim 8, wherein the mark and the central position of  
10 the metal ring are registered with each other, on the  
basis of detection of the mark by use of the second  
light of the second wavelength  $\lambda'$ .

10. A projection optical system including a  
15 diffractive optical element as recited in any one of  
Claims 1 - 9.

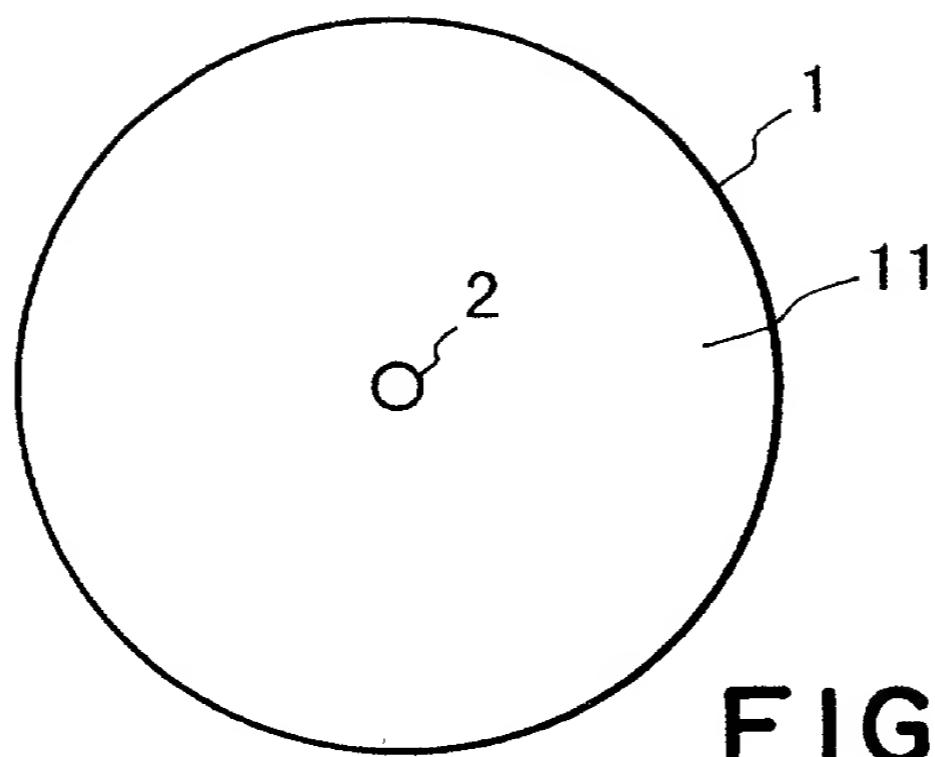
11. A projection exposure apparatus for  
projecting a pattern onto a substrate by use of a  
20 projection optical system as recited in Claim 10.

12. A device manufacturing method, comprising the  
steps of:

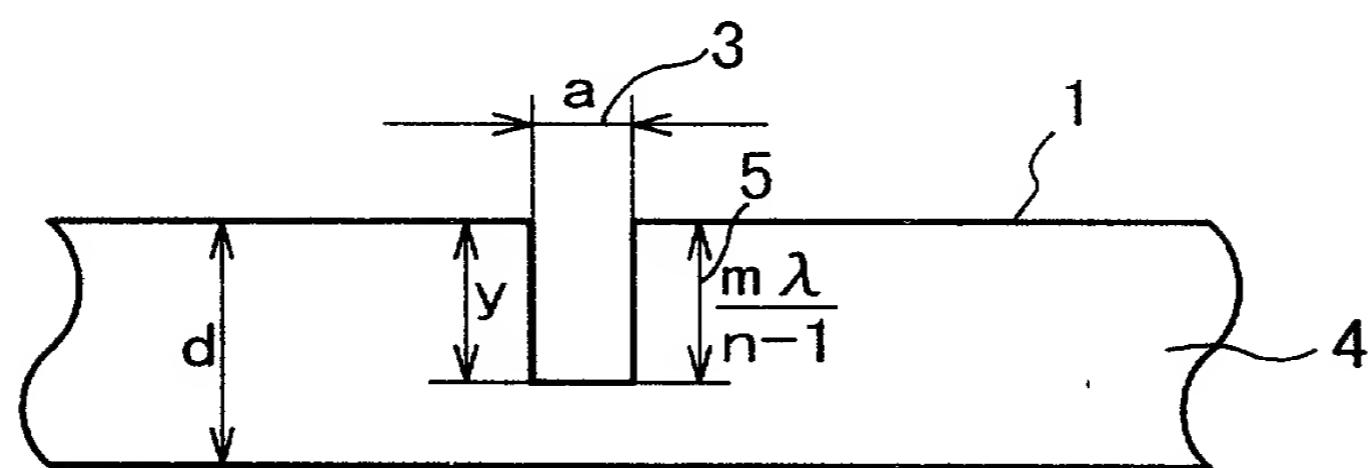
25 exposing a substrate with a device pattern by  
use of an exposure apparatus as recited in Claim 11;  
and  
developing the exposed substrate.

ABSTRACT OF THE DISCLOSURE

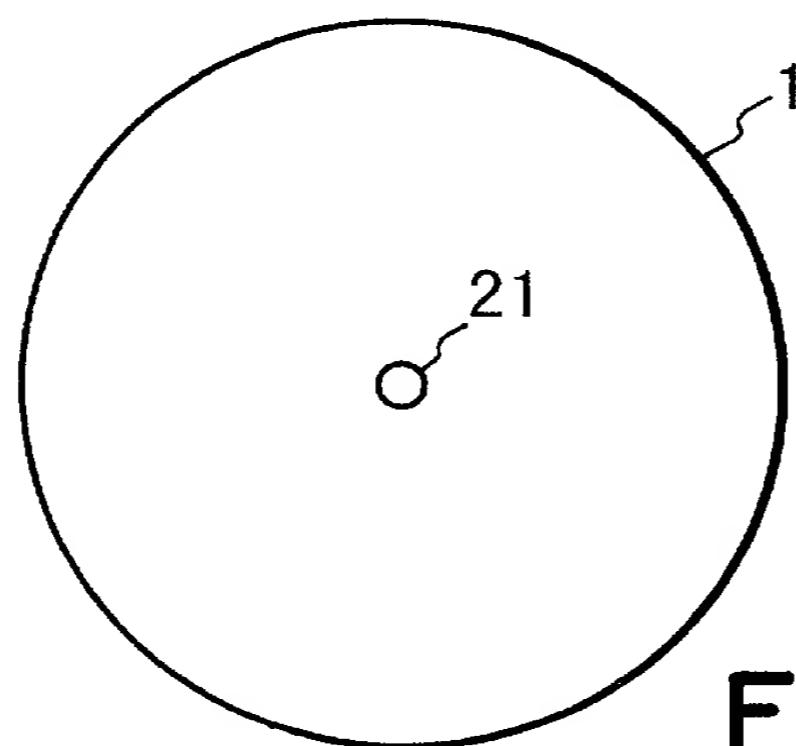
A diffractive optical element having a design wavelength  $\lambda$ , includes a diffractive surface for diffracting predetermined light corresponding to the 5 design wavelength, and a mark shaped so that, with regard to the predetermined light, a phase difference corresponding to a multiple, by an integer, of the design wavelength  $\lambda$  is produced between (i) a light ray, of the predetermined light, as transmitted 10 through or reflected by the mark and (ii) a light ray, of the predetermined light, as transmitted through or reflected by a portion adjacent to the mark, and that, with regard to second light of a second wavelength  $\lambda'$  different from the design wavelength  $\lambda$ , no phase 15 difference corresponding to a multiple, by an integer, of the second wavelength  $\lambda'$  is produced between (a) a light ray, of the second light, as transmitted through or reflected by the mark and (b) a light ray, of the second light, as transmitted through or reflected by a 20 portion adjacent to the mark.



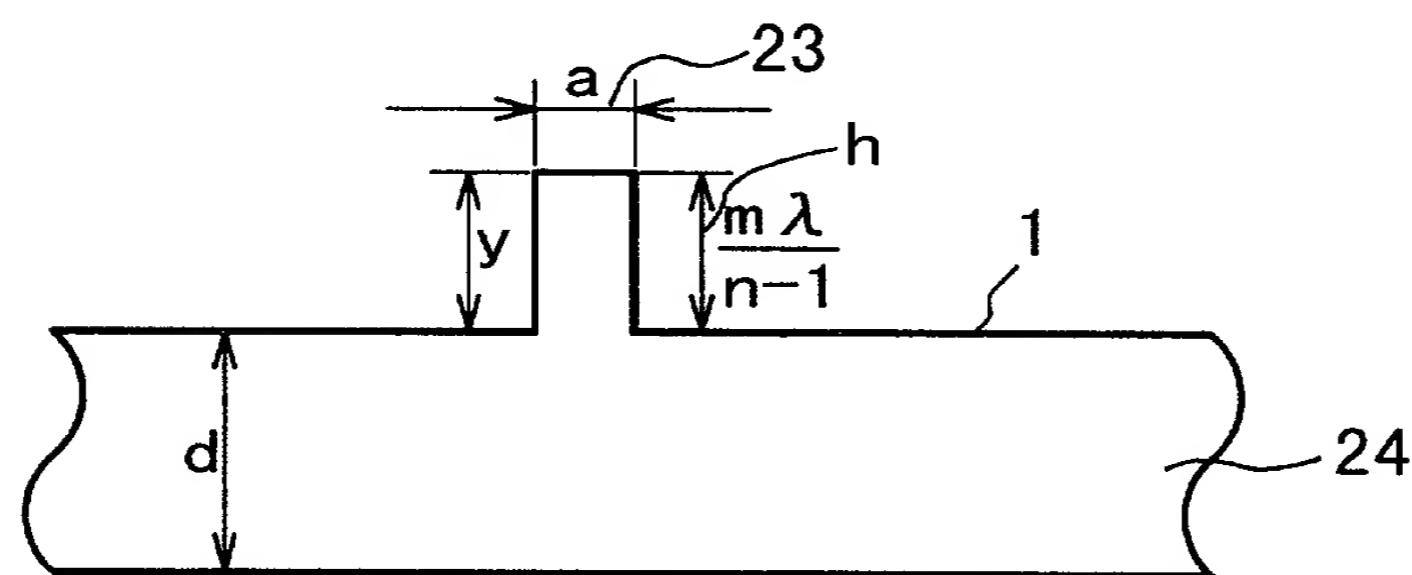
**FIG. 1A**



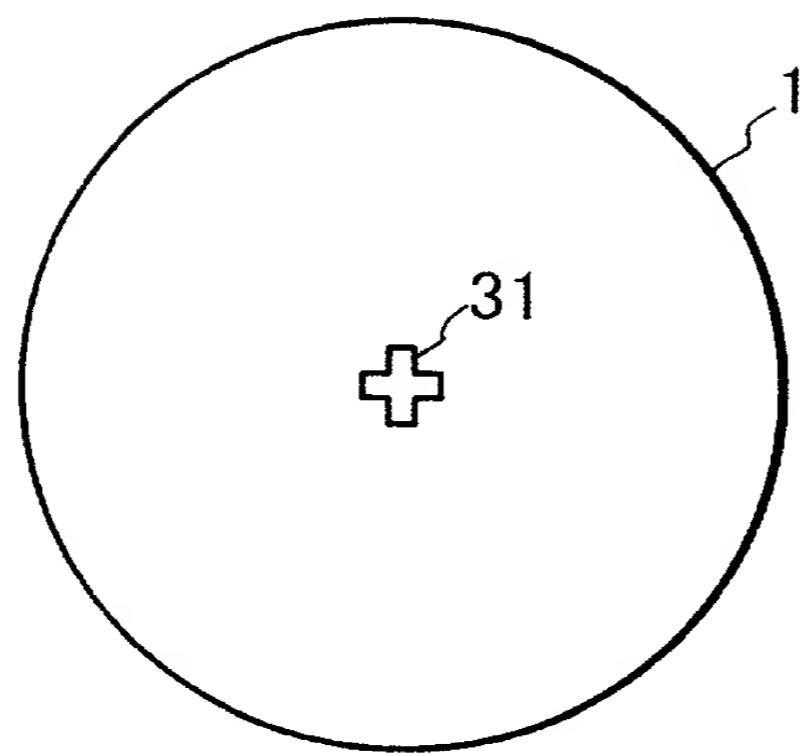
**FIG. 1B**



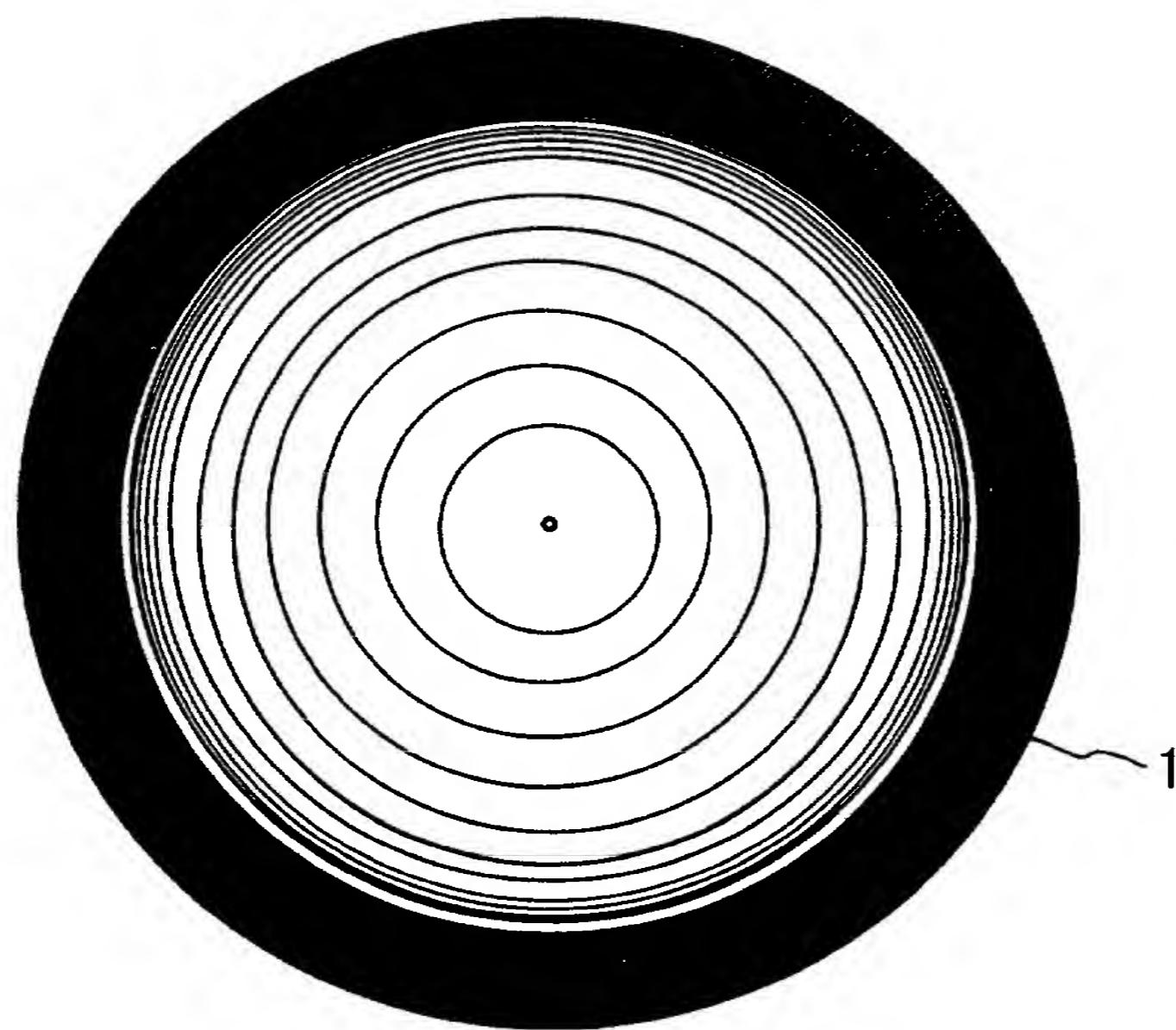
**FIG. 2A**



**FIG. 2B**



**FIG. 3**



**FIG. 4**

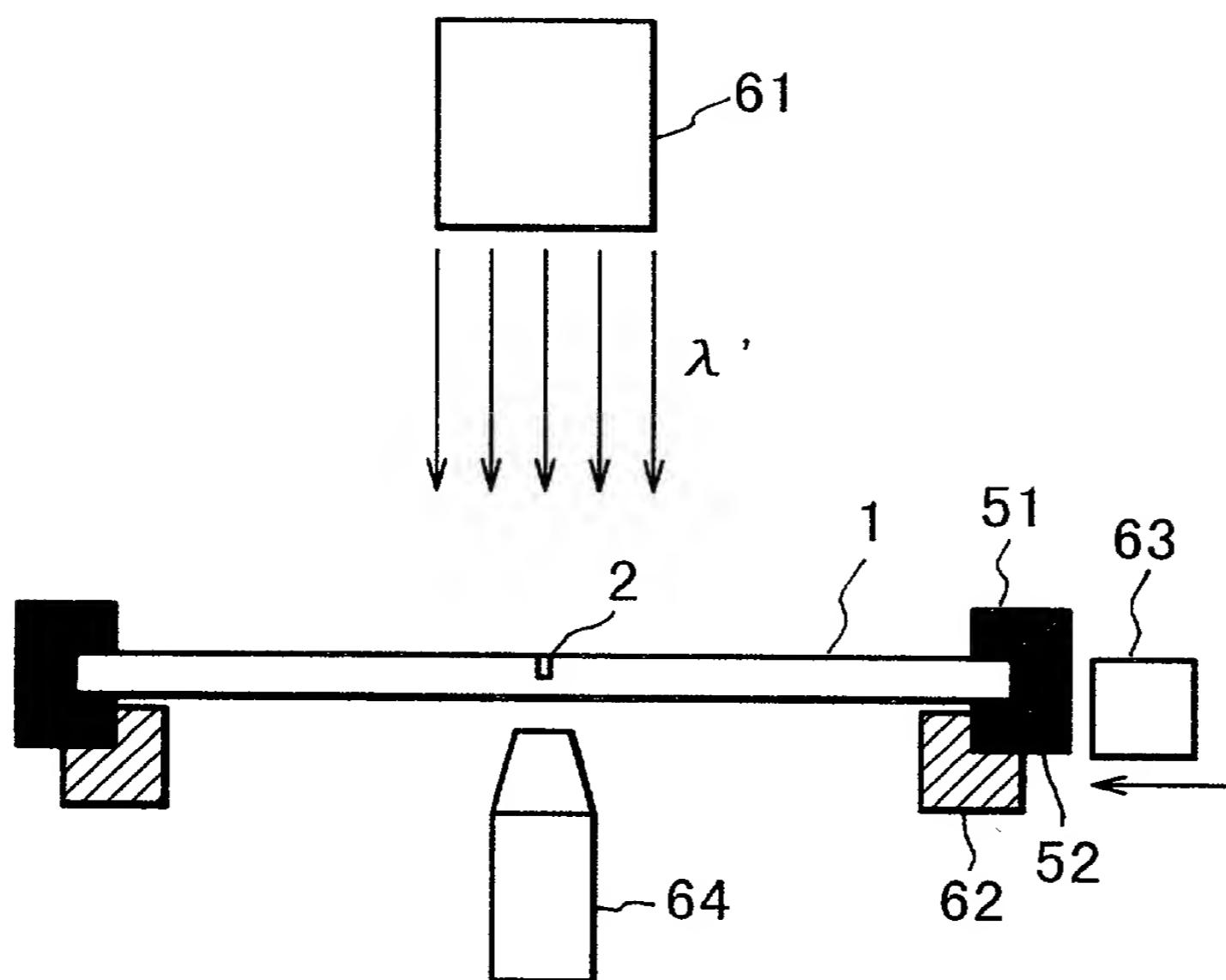


FIG. 5

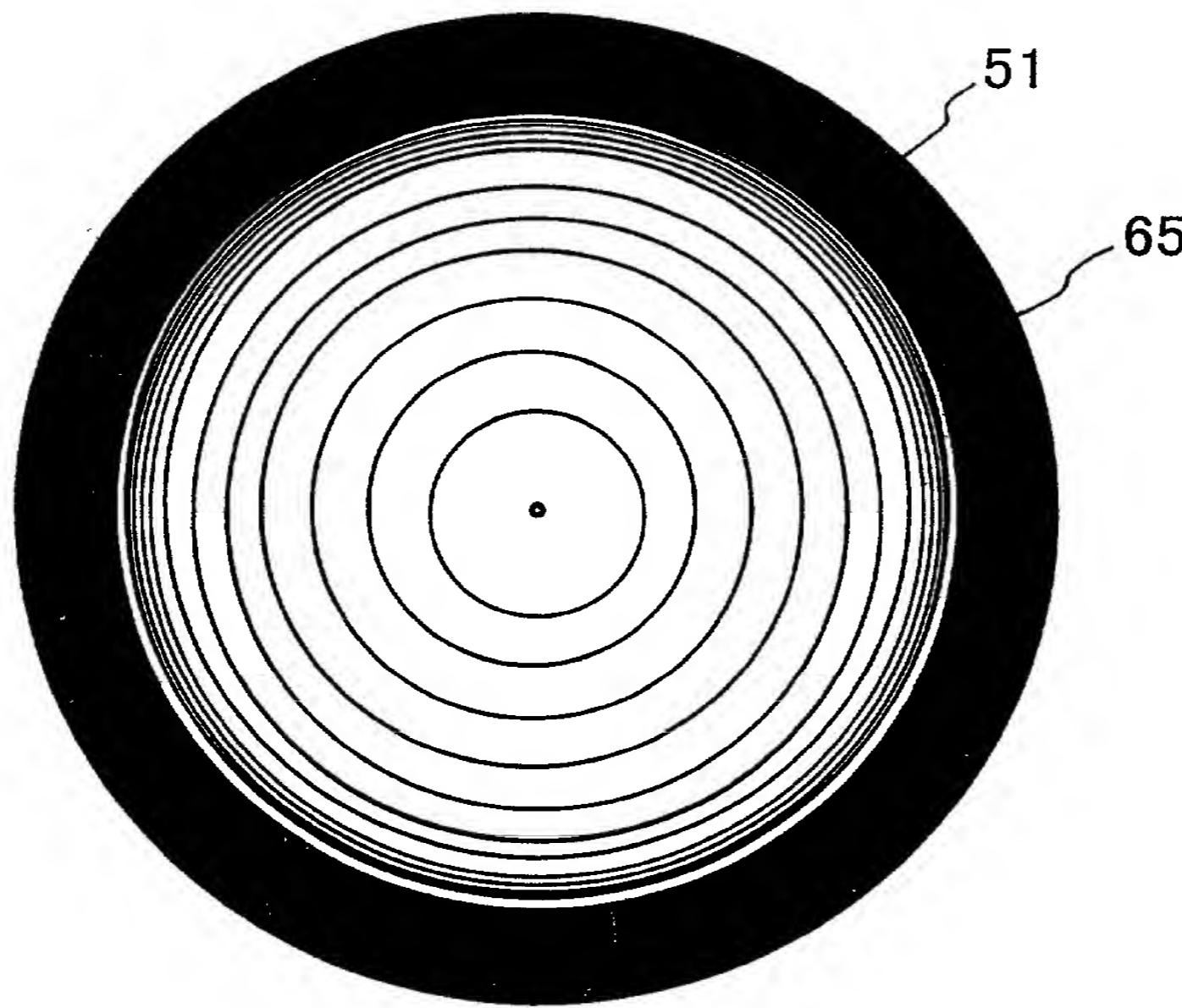


FIG. 6

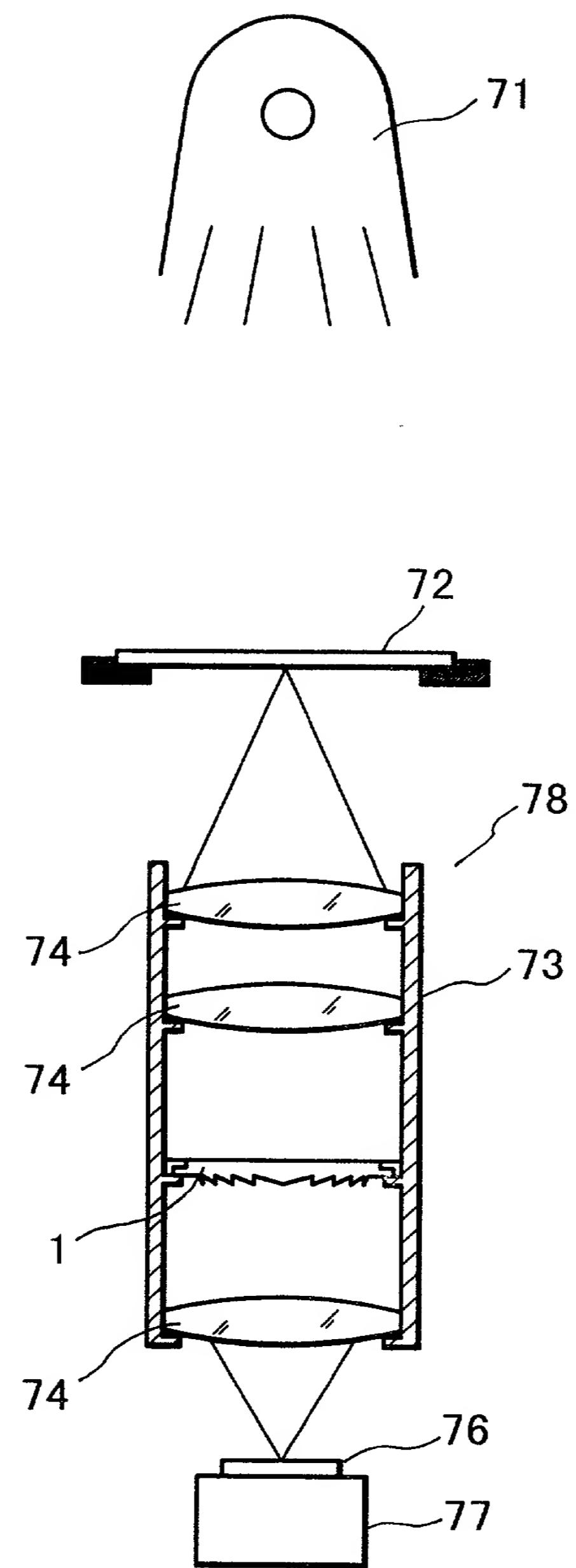


FIG. 7

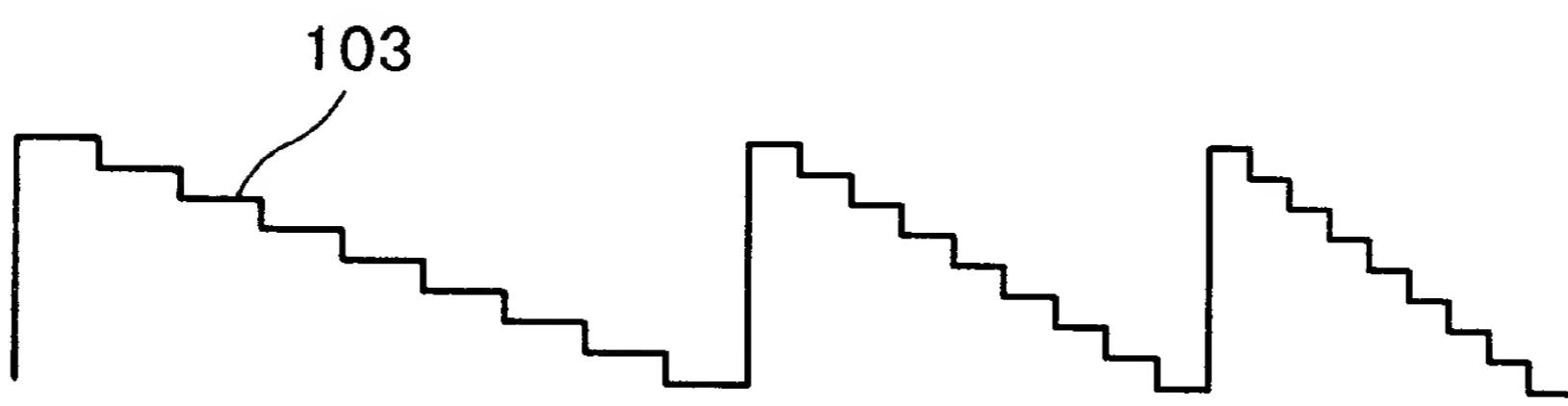
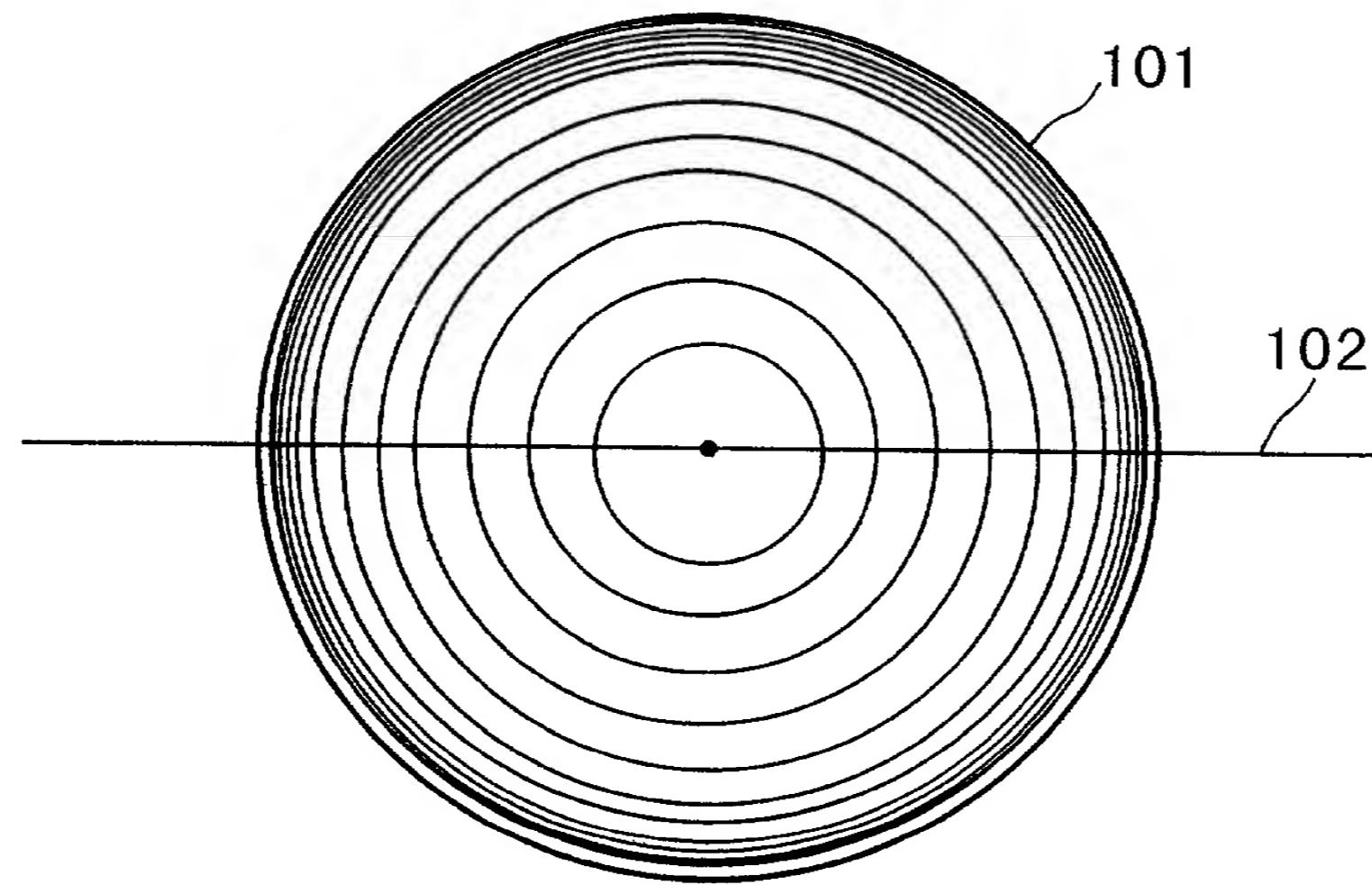


FIG. 9

**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **OPTICAL ELEMENT WITH ALIGNMENT MARK, AND OPTICAL SYSTEM HAVING SUCH OPTICAL ELEMENT**

the specification of which  is attached hereto  was filed on \_\_\_\_\_ as United States Application No. or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Country	Application No.	Filed (Day/Mo./Yr.)	(Yes/No)
JAPAN	10-333429	09/NOVEMBER/1998	Priority Claimed Yes

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application No.	Filed (Day/Mo./Yr.)	Status (Patented, Pending, Abandoned)
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I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO  
Customer Number: 05514**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor TOSHIHIKO TSUJI

Inventor's signature \_\_\_\_\_

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